



**FEE TRANSMITTAL SHEET  
(FOR FY 2002)**

Complete If Known

|                      |                    |
|----------------------|--------------------|
| Application No.      | 09/782,892         |
| Filing Date          | February 13, 2004  |
| First Named Inventor | Scott E. Moore     |
| Group Art Unit       | 3723               |
| Examiner             | Maurina T. Rachuba |
| Atty. Docket Number  | 500170.05          |

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NOV 25 2002

TECHNOLOGY CENTER R3700

**METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

**FEE CALCULATION**

**1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description                                 |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 101          | 740      | 201          | 370      | <input type="checkbox"/> Utility Filing Fee     |
| 106          | 330      | 206          | 165      | <input type="checkbox"/> Design Filing Fee      |
| 108          | 740      | 208          | 370      | <input type="checkbox"/> Reissue Filing Fee     |
| 114          | 160      | 214          | 80       | <input type="checkbox"/> Provisional Filing Fee |

Subtotal (1) \$ 0

**2. EXTRA CLAIM FEES**

| Current Claims            | Prior | Extra | Fee           | Fee Paid  |
|---------------------------|-------|-------|---------------|-----------|
| Total                     | 183   | - 65  | = 118 x \$ 18 | = \$ 2124 |
| Ind.                      | 23    | - 8   | = 15 x \$ 84  | = \$ 1260 |
| Multiple Dependent Claims |       |       | x \$          | = \$      |

Subtotal (2) \$ 3,384

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                  |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                       |
| 104          | 280      | 204          | 140      | Multiple dependent Claim                                |
| 109          | 84       | 209          | 42       | Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | Reissue claims in excess of 20 and over original patent |

**FEE CALCULATION (Continued)**

**3. ADDITIONAL FEES**

| Large Entity |          | Small Entity |          | Fee Description  | Fee paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge - Late filing fee or oath  | \$       |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     | \$       |
| 139          | 130      | 139          | 130      | Non-English specification  | \$       |
| 147          | 2,520    | 147          | 2,520    | For Filing a Request for Reexamination                                     | \$       |
| 195          | 300      | 196          | 300      | Publication (early or Republication)                                       | \$       |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     | \$       |
| 116          | 400      | 216          | 200      | Extension for reply within 2 <sup>nd</sup> month                           | \$       |
| 117          | 920      | 217          | 460      | Extension for reply within 3 <sup>rd</sup> month                           | \$       |
| 118          | 1,440    | 218          | 720      | Extension for reply within 4 <sup>th</sup> month                           | \$       |
| 128          | 1,960    | 280          | 980      | Extension for reply within 5 <sup>th</sup> month                           | \$       |
| 120          | 320      | 220          | 160      | Filing a brief in support of an appeal                                     | \$       |
| 121          | 280      | 270          | 140      | Request for oral hearing   | \$       |
| 148          | 110      | 248          | 55       | Terminal Disclaimer Fee  | \$       |
| 140          | 110      | 240          | 55       | Petition to revive - unavoidable   | \$       |
| 141          | 1,280    | 241          | 640      | Petition to revive - unintentional   | \$       |
| 142          | 1,280    | 242          | 640      | Utility/Reissue issue fee (+ advance copies)                               | \$       |
| 143          | 460      | 243          | 230      | Design issue fee (+ advance copies)  | \$       |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  | \$       |
| 123          | 50       | 123          | 50       | Petitions related to provisional applications                              | \$       |
| 126          | 180      | 126          | 180      | Submission of IDS  | \$       |
| 581          | 40       | 81           | 40       | Recording each patent assignment per property (times number of properties) | \$       |
| 179          | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    | \$       |

Other fee (specify)

Subtotal (3) \$ 0

**Total Amount of Payment: \$ 3,384**

Submitted by:

Name: Steven H. Arterberry

Reg. No.: 46,314

Telephone: (206) 903-8787

Signature: *Steven Arterberry*

Date: 11/14/02



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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner of Patents, Washington, DC 20231.

November 14, 2002  
Date

SHA  
Stephanie Jansen

#6/Amdt  
B  
Com 11.14.02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Scott E. Moore

Attorney Docket No.: 500170.05

Serial No. : 09/782,892

Group Art Unit : 3723

Filed : February 13, 2001

Examiner : Maurina T. Rachuba

Title : APPARATUS AND METHOD FOR CONDITIONING AND MONITORING MEDIA  
USED FOR CHEMICAL-MECHANICAL PLANARIZATION

Commissioner of Patents  
Washington, DC 20231

AMENDMENT

Sir:

Applicant acknowledges receipt of the Office Action dated August 14, 2002.

In the Claims:

Please cancel claims 6, 11, 28, 43, 50, 51, 62, 64, 67-70, 72-77, 79 and 81, amend claims 1, 12, 13, 22, 35, 44, 45, 46, 53, 55, 60, 65, 78, 82 and 84 and add new claims 85-222 as follows:

1. (Amended) An apparatus for monitoring conditioning of a planarizing medium used for planarizing a microelectronic substrate, comprising:

a conditioning body having a conditioning surface configured to engage a planarizing surface of the planarizing medium, at least one of the conditioning body and the planarizing medium being movable relative to the other of the conditioning body and the planarizing medium to condition the planarizing surface;

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01 FC:1201  
02 FC:12021260.00 OP  
2124.00 OP